



Finance Sub-Committee 1 December 2014

Budget Monitoring to October 2014/15

Purpose of report

To provide an update on the forecast Revenue and Capital positions against the approved budgets for the period ended 30 October 2014.

Attachment(s):

Appendix 1 – Service Expenditure Analysis and Capital Programme

Appendix 2 – Capital Expenditure Request Form

1. Introduction

- 1.1. This report provides an overview of the Council's revenue and capital position up to 30 October 2014 and forecast outturn against the approved budget 2014/15. It highlights any significant under or overspending and identifies its impact on the year end position.
- 1.2. This report informs Members of high-level variations over £10k, which could potentially have a significant impact on the overall financial position of the Council. Detailed information on less significant variations can be obtained from the budget holders.

2. Overview

2.1. Revenue Overview

- 2.2. The Council approved a total council tax requirement of £12,755,004 for 2014/15 which included parish precepts and a number of technical accounting entries and adjustments. For monitoring purposes, the Finance Sub Committee is focussed on the collectable income and expenditure of this Council. The original revenue budget for the net cost of services, before such adjustments and items, was £9,644,877.
- 2.3. Since the budget setting in February 2014, the total budget has been revised to £9,714,788. The increase in budget relates to reporting changes and supplementary estimates totalling £69,911 approved during the year, as shown in **Table 1** below:

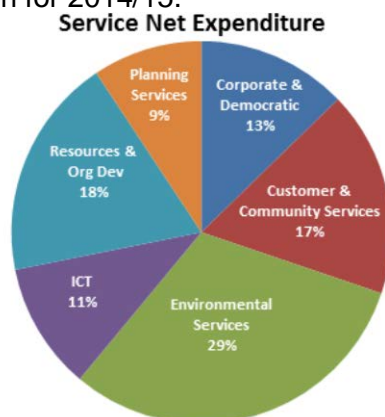
Table 1	£
Net cost of services	9,644,877
Net Interest Payable	(25,536)
Carry forwards from 2013/14 (FSC 25/6/14)	95,447
Revised budget as per Outturn report	9,714,788

2.4. Revenue Update

- 2.5. The estimated services outturn for the year is an under-spend of £928k, over 40% of which is attributable to planning fee income being significantly higher than budgeted. The forecast does not take into account the possible effect of further staff vacancies for the remainder of this financial year, which will be closely monitored and any updates reported to Finance Sub-Committee.
- 2.6. **Table 2** below shows the actual expenditure to date against budget, and the estimated out-turn for year with the variance against budget.

	Budget 2014/15	Actual to date	Estimated Outturn	Variance
Corporate & Democratic	£1,148,894	£494,936	£1,122,240	(£26,654)
Customer & Community Services	£1,865,474	£2,305,868	£1,528,913	(£336,561)
Environmental Services	£2,740,110	£1,312,401	£2,714,617	(£25,493)
ICT	£967,830	£733,844	£947,328	(£20,502)
Resources & Org Dev	£1,764,770	£938,964	£1,652,758	(£112,012)
Planning Services	£1,227,710	£119,101	£820,580	(£407,130)
	£9,714,788	£5,905,114	£8,786,436	(£928,352)

- 2.7. The chart below shows the net service expenditure as a percentage of the Total Estimated Outturn position for 2014/15.



- 2.8. **Table 3** below provides a summary of the main savings and pressures of over £10k. A detailed breakdown by Head of Service is shown at **Appendix 1**.

Service area	Saving £'000	Pressure £'000	Reason for variance
Corporate & Democratic	(19,464)		Increase in Interest Receivable due to higher cash balances compared to budget
Corporate & Democratic	(16,000)		Savings / rebate of previous years on External Audit
Corporate & Democratic		12,250	Increased cost of Welland Internal Audit Service
Customer & Community	(10,007)		Transitional Vacancy Savings
Customer & Community	(41,620)		£31k savings from Fraud Dept devolvement & £10k From Rutland
Customer & Community	(15,000)		Additional income from Land Searches
Customer & Community	(195,000)		Budget carry forward adjustment
Customer & Community	(90,000)		As a result of Business Rates Retention a technical change has arisen around Discretionary Rates Relief. This will now be dealt with through the Collection Fund and not the general revenue fund. ENC used to take on 100% of the cost. This is now split 40% ENC, 10% NCC and 50% Central Government in line with Business Rates Retention.
Customer & Community		18,240	Police Contribution not to be received in 14/15
ICT	(10,920)		Licence & Maintenance savings
Resources & Org Dev	(35,250)		NNDR savings following asset sale
Resources & Org Dev	(26,315)		Additional rental income
Resources & Org Dev	(33,100)		Legal Shared Service
Resources & Org Dev	(12,530)		Salary Savings for the Apprentice Corporate Support post
Resources & Org Dev	(15,200)		Savings on Franking Machine
Resources & Org Dev		26,000	Increase in Mail Collection Service
Environmental Services	(16,500)		Savings on Changes to Pest Control Service
Planning Services	(23,430)		Lyveden Inquiry costs reimbursement
Planning Services		13,000	Additional Legal costs
Planning Services	(14,000)		Transitional Vacancy savings
Planning Services	(357,000)		Additional Planning fee income
Planning Services	(22,400)		Additional Building Control fee income
Various	(44,106)		
Total	(997,842)	69,490	(928,352)

- 2.9. The main reason for the variance is additional income arising from Planning and Building Control Fees, which account for around 40% of the total underspend.
- 2.10. The detailed breakdown at Appendix 1 sets out that around £486k of this underspend is ongoing and will be incorporated into the budget for 2015/16.

2.11. Vacancy Factor

- 2.12. In the past 3 years the Council has budgeted for a full establishment; however, staff turnover has invariably meant that the budgets have been underspent. Officers have been developing a vacancy factor to be incorporated into the 2015/16 Budget.
- 2.13. The Council has experienced an average staffing variance of 4.6% over the last 3 years. This has led to around £0.3m of salary saving being achieved each year.
- 2.14. For 2014/15 a pilot vacancy factor has been put in place and monitored via Finance Sub-Committee and CMT, with a view to incorporating this into the 2015/16 budget, subject to performance during 2014/15.
- 2.15. Based on previous years and following a prudent approach, a level of 3.5% (£210k) is being used as a pilot.
- 2.16. Following the above approach, the vacancy factor monitoring is set out below:

Vacancy Factor	£k	£k
Services Underspend		(928)
Vacancy Factor (3.5%)	(210)	
Vacancy Savings to date <i>(incorporated into underspend above)</i>	90	(120)
Possible Forecast Underspend		(1,048)

- 2.17. The major adverse risk associated with the vacancy factor is that staff turnover at the same levels as previous years may not be experienced and the amount of salary savings achieved is lower than expected. This will be closely monitored to ensure that the 2015/16 budget for staffing costs is set at a realistic level.

2.18. Local Government Pay Update

- 2.19. The Council has recently been made aware that negotiations for the Local Government Pay Award have concluded, with an agreement reached between trade unions and Local Government Employers. The agreement equates to a 2.2% pay award over the period January 2015 to March 2016, and a lump sum, dependent on the employee's pay point.
- 2.20. Officers are calculating the implications of this for each member of staff, so payment can be made in January.
- 2.21. A 1% pay award has been paid to Staff during 2014/15. This was agreed early in the financial year via Personnel Sub-Committee.
- 2.22. Based on preliminary calculations, there is expected to be minimal financial impact for 2014/15. The financial implications for future years will be incorporated into the 2015/16 budget setting process; the national award equates to close to 1% in 2015/16 on top of the 1% award already paid by this Council for 2014/15.

2.23. Revenue Reserves

2.24. When the Council set its budget in February 2014 it included a £60k contribution to reserves. The forecast position for the year as a result of the changes set out above is shown in the table below:

Reserves	Q2 £k
Budgeted contribution to reserves	60
Forecast underspend for the year	928
Revised contribution to reserves 2014/15	988

2.25. The impact of the latest outturn position on revenue reserves is set out in the table below:

Revenue Reserves	£k	£k
Revenue Reserves Q4 2013/14		
General Reserves		2,000
Earmarked Reserves		5,561
Revenue Reserves as at 1 April 2014		7,561
Less:		
Community Projects	(487)	
Use of earmarked reserves	(659)	(1,146)
Revised Reserves		6,415
Add:		
Forecast Contribution to reserves 2014/15		988
Less: Use of underspend to supporting services (Homelessness / Empty Houses)		(53)
Forecast Revenue Reserves (including earmarked reserve) as at 31 March 2015		7,350

3. Capital Overview

3.1. The Council's Capital Programme 2014/15 of £638k was approved by Council on 26 February 2014. A summary of the Capital Programme approved by Council is shown below:

Approved Capital Programme	2014/15 £
Disabled Facilities Grants	398,519
Housing Stock Survey (HI 4 EM)	39,020
Purchase of Wheeled Bins	200,000
Total	637,539

No further capital schemes were approved in February 2014 due to the amount of uncertainty surrounding funding available to finance the capital expenditure.

3.2. Capital Update 2014/15

3.3. During April 2014, Finance Sub-Committee undertook a review of the capital programme. The aim of the review was to understand fully what the Council must do in order to maintain service provision and business continuity, identify what expenditure is not essential and investigate possible 'Invest to Save' opportunities to ensure that the capital programme is affordable for 2014/15 and beyond.

3.4. Following this review an updated capital programme was approved by Council on 28 July 2014.

3.5. Five schemes were approved by the Finance Sub Committee on 22 October 2014 for progression from the Development Pool to the Approved Programme

3.6. The table below sets out the latest position on the medium term capital programme:

	2014/15 Budget	Revised Position
	£	£
Approved Capital Programme		
Housing Projects	398,519	494,325
Leisure and Tourism Projects	-	105,425
Environment Projects	239,020	208,500
Central Services Projects	-	16,200
Corporate Systems	-	278,457
Essential Property Maintenance	-	446,233
Total Approved	637,539	1,549,140
Development Pool		
Housing Projects		-
Leisure and Tourism Projects	486,000	155,000
Environment Projects	-	-
Central Services Projects	54,000	37,600
Corporate Systems	305,000	100,000
Essential Property Maintenance	223,000	150,000
Vehicle Replacements	-	-
Total Development Pool	1,068,000	442,600
Total Capital Programme	1,705,539	1,991,740

3.7. Capital Expenditure 2014/15

3.8. The table shows that the budget for the Approved Capital Programme has increased from £0.6m to £1.5m as a result of changes within the capital programme, including re-profiling of expenditure from 2013/14 since the budget was set in February 2014 and movements from the Development Pool to the Approved Capital Programme. These movements have been approved during 2014/15.

3.9. The forecast outturn for 2014/15 is £5k over budget. Full details are shown in Appendix 1.

4. Capital Funding 2014/15

4.1. The latest capital spending position assumes funding from the following sources in 2014/15:

	2014/15
Approved Capital Programme	£1,549,140
Total Expenditure	£1,549,140
Funded by:	
Wheeled bins – RCCO	£208,500
DFG - 2 nd homes from NCC	£220,412
DFG - Capital Grant	£178,107
Capital Reserves	£942,121
Government Grant	£0
Total Funding	£1,549,140

4.2. Scheme Progression from Development Pool to Approved Programme

4.3. One scheme within the development pool has been reviewed as ready to progress into the approved capital programme.

- 4.4. A summary of this scheme is set out in the table below. Additional information relating to this scheme can be found at Appendix 2.

Scheme Name	Amount (£)	Amount in Dev. Pool	Variance	Overview
Security Systems	20,000	20,000	0	The Council's current Citrix environment requires an upgrade to meet PSN Security standards.
Total	20,000	20,000	0	

- 4.5. The schemes remaining within the development pool will be reviewed during the year as part of the capital governance process.

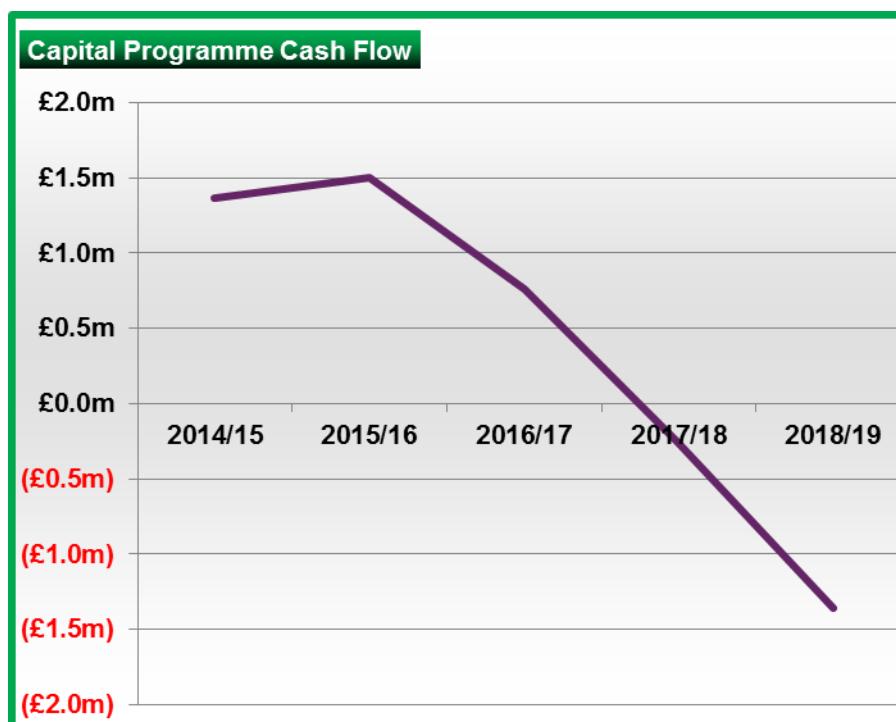
4.6. Capital Reserves

- 4.7. The impact on capital reserves is set out below:

Capital Reserves	£k
Capital reserves as at 1 April 2014	2,975
Less:	
Approved Capital Programme Expenditure funded from reserves	(1,549)
Development Pool Progression Items	(20)
Forecast capital reserves as at 31 March 2015	1,406

- 4.8. Following the approval of the Statement of Accounts prior to 30 September 2014, the Council has released the £2.4m negative capital financing requirement from unusable to usable reserves. This has provided a short term boost for the Capital Programme. This amount is included in the capital reserves balance as at 1 April 2014.

- 4.9. The graph below demonstrates the Council's latest position for the cash flow projection of the capital programme.



- 4.10. The graph shows the cash flow over the medium term based on the current capital programme and development pool. It is currently anticipated (based on **all** schemes being approved) that during 2017/18 the Council will run out of capital resources and

be required to seek alternative options for financing capital expenditure. This position is being proactively mitigated through the MTFs.

5. Equality and Diversity Implications

5.1. There are no known equalities issues arising from this report.

6. Legal Implications

6.1. There are no known legal implications arising from this report.

7. Risk Management

7.1. This risks arising from this report are recorded in the Council's Risk Register. The risks are subject to regular review and update.

8. Financial Implications

8.1. This report is of a financial nature and the implications are set out within the report

9. Corporate Outcomes

9.1. This report links to the following Corporate Outcomes:


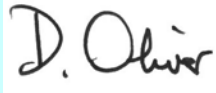
- Effective Management
Budget monitoring allows the Council to manage and review its financial performance contributing to the effective management of the Council
- Good Value for Money
This report demonstrates the cost of services provided which contributes to this corporate outcome

10. Recommendations

10.1. It is recommended that:

a) the current budget monitoring position be noted.
(Reason – this is an information / monitoring report – no decision required)

b) The capital scheme set out in section 4.4 of this report be moved from the Development Pool into the Approved Capital Programme.
(Reason – to enable expenditure on this scheme to commence)

Legal	Power: Local Government Act 2003				
	Other considerations:				
Background Papers:					
Person Originating Report: Iain Jenkins, Interim Finance Manager ijenkins@east-northamptonshire.gov.uk					
Date: 18/11/14					
CFO 21/11/14		MO		CX 21/11/14	

(Committee Report Normal Rev. 22)

Outturn Report		Period: 7 Year: 2015				Budget A	Actual + Commitment B	Funds Left A - B	Projected spend/income D	Projected spend/income less Budget D - A	Income	Saving	Pressure	To build into 15/16 budget
CDG006	Unfit Housing	£61,700	£36,868	£24,832	£61,700	£0								
CDG015	Empty Property Initiative	£0	£6,525	(£6,525)	£0	£0								
CKJ002	Emergency Planning - NNSRP	£17,380	£11,241	£6,139	£17,380	£0								
CKN002	Licences-Other	£3,090	£2,389	£701	£770	(£2,320)	(£2,320)						Y (£720)	
CKN003	Licences-Taxis	£3,560	(£816)	£4,376	£3,660	£100						£100		
CKN004	Licences-Liquor and Entertainment	(£15,860)	(£12,889)	(£2,971)	(£15,860)	£0								
CKN005	Central Administration Unit	(£46,710)	£71,020	(£117,730)	(£46,710)	£0								
CKN006	Licensing Daventry	£0	(£3,252)	£3,252	£0	£0								
CKN007	Licensing Wellingborough	£0	(£4,489)	£4,489	£0	£0								
CKN008	Licensing Kettering	£0	(£11,639)	£11,639	£0	£0								
CKN009	Licensing Corby	£0	(£6,360)	£6,360	£0	£0								
Head of Environmental Services Total		£2,740,110	£1,312,401	£1,427,709	£2,714,617	(£25,493)	(£6,063)	(£28,220)	(£14,780)	£23,570	(£15,650)			
HIT	Head of ICT													
AKT001	ICT Information Governance (ENC)	£41,540	£19,818	£21,722	£41,540	£0								
AKT002	Corporate IT Systems (ENC Only)	£118,440	£126,261	(£7,821)	£118,693	£253	(£500)					£753	N	
AKT003	IT Support (ENC Only)	£257,820	£214,688	£43,132	£267,170	£9,350					(£430)	£9,780	Y £2,650	
AKT004	IT Technical Services (ENC Only)	£53,510	£41,715	£11,795	£48,100	(£5,410)					(£5,410)		N	
AKT101	ICT Management (SS)	(£381,500)	(£150,172)	(£231,328)	(£387,685)	(£6,185)						(£6,185)	N	
AKT102	Corporate IT Systems (SS)	£248,760	£137,536	£111,224	£240,760	(£8,000)				(£8,000)			n	
AKT103	IT Support (SS)	£274,350	£135,130	£139,220	£274,760	£410						£410	Y £410	
AKT104	IT Technical Services (SS)	£354,910	£208,844	£146,066	£343,990	(£10,920)					(£10,920)		Y (£8,870)	
AKT202	ICT Corporate Systems (WBC Only)	£0	£0	£0	£0	£0								
AKT203	IT Support (WBC Only)	£0	£0	£0	£0	£0								
AKT204	IT Technical Services (WBC only)	£0	£24	(£24)	£0	£0								
Head of ICT Total		£967,830	£733,844	£233,986	£947,328	(£20,502)	(£500)	(£8,000)	(£16,760)	£4,758	(£5,810)			
HOR	Head of Resources & Org Dev													
AKA001	The Rushden Centre	£36,500	£6,687	£29,813	£10,185	(£26,315)	(£26,315)						Y (£26,315)	
AKA007	Thrapston Offices	£317,670	£204,620	£113,050	£317,514	(£156)					(£156)		N	
AKA008	Facilities Management	£145,440	£78,665	£66,775	£145,440	£0								
AKB001	Corporate Costs	£62,600	£40,684	£21,916	£67,678	£5,078						£5,078		
AKB003	Business Transformation	£45,320	£15,254	£30,066	£46,049	£729						£729		
AKC101	Democratic Services Management & Adminis	£168,540	£98,279	£70,261	£167,671	(£869)					(£869)			
AKC111	Printing	£82,110	£52,552	£29,558	£79,460	(£2,650)	(£6,000)					£3,350	N	
AKC114	Electoral Administration	£87,430	£52,441	£34,989	£82,350	(£5,080)						(£5,080)	N	

Outturn Report		Period: 7 Year: 2015		Budget A	Actual + Commitment B	Funds Left A - B	Projected spend/income D	Projected spend/income less Budget D - A	Income	Saving		Pressure	To build into 15/16 budget	
DAJ004	Rockingham Forest Trust (Twywell Hills &	£0	£68	(£68)	£0	£0								
DAJ005	Ringstead Parish Council	£0	£86	(£86)	£0	£0								
DAJ006	Oundle Town Council	£0	£16	(£16)	£0	£0								
DAJ008	Woodford Parish Council	£0	£1,282	(£1,282)	£0	£0								
DAJ011	Titchmarsh Parish Council GM AW	£0	£1,487	(£1,487)	£0	£0								
DAJ012	Denford Parish Council GM AW	£0	£339	(£339)	£0	£0								
DAJ013	Collyweston Parish Council GM AW	£0	£16	(£16)	£0	£0								
DAJ014	Islip Parish Council GM AW	£0	£16	(£16)	£0	£0								
DAJ015	Polebrook Parish Council GM AW	£0	£23	(£23)	£0	£0								
DAJ016	Warmington Parish Council GM AW	£0	£8	(£8)	£0	£0								
DAJ017	Polebrook Parish Council	£0	£414	(£414)	£0	£0								
Head of Resources & Org Dev Total		£1,764,770	£938,964	£825,806	£1,652,758	(£112,012)	(£35,346)	(£22,103)	(£90,905)	£36,342				(£69,625)
HPS Head of Planning Services														
AKL005	Planning Management	£172,190	£74,642	£97,548	£161,760	(£10,430)	(£23,430)				£13,000	Y	£13,000	
AKP001	Planning Administration	£141,820	£82,477	£59,343	£138,820	(£3,000)		(£3,000)				N		
CDD001	Homelessness Admin	£217,420	£110,080	£107,340	£218,120	£700					£700	Y	£700	
CDD002	Housing Advice	£17,120	£9,886	£7,234	£17,120	£0								
CDD003	Homelessness Grants Programme	£40,500	(£95)	£40,595	£40,500	£0								
CDG019	Service Strategy & Enabling Activities	£87,050	£45,415	£41,635	£87,050	£0								
CFA002	Development Control Planning	£87,200	(£416,136)	£503,336	(£279,800)	(£367,000)	(£357,000)	(£14,000)			£4,000	Y	(£50,000)	
CFA003	Planning Policy	£176,830	£91,835	£84,995	£171,830	(£5,000)	(£5,000)					N		
CFA007	Joint Planning Unit	£115,000	£98,358	£16,642	£115,000	£0								
CFA013	Local Development Framework	£43,920	£18,244	£25,676	£43,920	£0								
CFB001	Planning-Conservation/Amenit	£114,910	£62,902	£52,008	£114,910	£0								
CFE001	Building Control	£9,290	(£19,155)	£28,445	(£13,110)	(£22,400)	(£22,400)					N		
CKS105	Irthlingborough Master Plan	£3,420	£0	£3,420	£3,420	£0								
CKS107	Raunds - Neighbourhood Plans	£0	(£15,352)	£15,352	£0	£0								
CKS108	Oundle - Neighbourhood Plans	£0	£0	£0	£0	£0								
CKS110	Thapston Master Plan	£1,040	£0	£1,040	£1,040	£0								
CKS114	Neighbourhood Plans	£0	(£25,000)	£25,000	£0	£0								
CKS115	Kings Cliffe Local Nature Reserve	0	£1,000	(£1,000)	0	£0								
Head of Planning Services Total		£1,227,710	£119,101	£1,108,609	£820,580	(£407,130)	(£407,830)	(£17,000)	£0	£17,700				(£49,300)
SCX Secretary to Chief Executive														
AKX001	Chief Executives	£189,580	£109,634	£79,946	£189,580	£0								
Secretary to Chief Executive Total		£189,580	£109,634	£79,946	£189,580	£0	£0	£0	£0	£0				£0
Interest on Balances														
Int-P	Interest payable (RCCO)	£0	£0	£0	£30,000	£30,000				£30,000				
Int-R	Interest receivable	(£25,536)	(£14,896)	(£43,750)	(£75,000)	(£49,464)				(£49,464)				
Total		(£25,536)	(£14,896)	(£43,750)	(£45,000)	(£19,464)	£0	£0	(£19,464)	£0				£0
		£9,714,788	£5,905,114	£3,776,564	£8,786,436	(£928,352)	(£480,906)	(£123,353)	(£455,583)	£131,490				(£486,005)
<i>Produced on: 15 Nov 2014 12:30:35</i>							52%	13%	49%	(14%)				

East Northamptonshire Council Capital Programme as at 31 October 2014

Approved Capital Programme	Previous Years Exp	2014/15 Original Budget	Previous Years Re-profiled Expenditure	New	Current Budget	2014/15			Variance Budget v Actual	Request Carry Forward
						Actual	Committed	Forecast Outturn		
						£	£	£		
Housing Projects	393,570	398,519	24,786	71,020	494,325	238,571	-	494,325	-	-
Leisure and Tourism Projects	733,978	-	32,425	73,000	105,425	18,718	61,952	56,585	(48,840)	-
Environment Projects	-	239,020	-	(30,520)	208,500	170,956	-	208,500	-	-
Central Services Projects	-	-	-	16,200	16,200	-	-	16,200	-	-
Corporate Systems	917,088	-	73,457	205,000	278,457	112,894	45,238	301,337	22,880	-
Essential Property Maintenance	1,027,800	-	115,233	331,000	446,233	414,919	570	477,193	30,960	-
Vehicle Replacements	-	-	-	-	-	-	-	-	-	-
Total	3,072,436	637,539	245,901	665,700	1,549,140	956,059	123,960	1,554,140	5,000	-
Approved Capital Project	Previous Years Exp	2014/15 Original Budget	Previous Years Re-profiled Expenditure	New	Current Budget	Actual	Committed	Forecast Outturn	Variance Budget v Actual	Request Carry Forward
Replacement Hardware (PC S, Monitors & Laptops)	393,570	-	-	33,335	33,335	(1,047)	1,047	33,335	-	-
IER Hardware (Funded from IER Grant)	393,570	-	-	-	-	605	-	-	-	-
Greenway Phase 3 - Irthlingborough (Accrual)	393,570	-	-	-	-	(48,842)	52,749	(48,840)	(48,840)	-
Disabled Facilities Grants	393,570	398,519	24,786	71,020	494,325	238,571	-	494,325	-	-
Purchase of Wheeled Bins	-	200,000	-	8,500	208,500	170,956	-	208,500	-	-
HI 4 EM	-	39,020	-	(39,020)	-	-	-	-	-	-
Leisure Equipment	340,409	-	32,425	-	32,425	(5,440)	9,203	32,425	-	-
Stanwick Lakes - Infrastructure	-	-	-	73,000	73,000	73,000	-	73,000	-	-
Nene Community Centre - Roof	994,843	-	33,190	-	33,190	64,148	-	64,150	30,960	-
Splash Pool - Emergency & Improvement Plan	5,840	-	4,160	331,000	335,160	294,836	570	335,160	-	-
Demolition of Recycling Centre and Depot Site Newton Road Rushden	27,117	-	77,883	-	77,883	55,935	-	77,883	-	-
Service Transformation Programme	54,100	-	5,900	-	5,900	-	-	5,900	-	-
Licences	-	-	-	100,000	100,000	81,006	-	100,000	-	-
Revenues and Benefits Upgrade	44,683	-	4,703	10,000	14,703	2,351	2,351	14,703	-	-
DR/Business Continuity	-	-	-	25,000	25,000	27,882	-	27,880	2,880	-
Telephone System	-	-	-	11,665	11,665	-	-	11,665	-	-
Server 2003 replacement	-	-	-	10,000	10,000	-	-	10,000	-	-
Replacement Printers & Scanners	-	-	-	15,000	15,000	-	-	15,000	-	-
Performance Management Solution	-	-	-	16,200	16,200	-	16,200	16,200	-	-
CAPS Solutions/ERMS	31,166	-	62,854	-	62,854	2,098	41,840	82,854	20,000	-
Total	3,072,436	637,539	245,901	665,700	1,549,140	956,059	123,960	1,554,140	5,000	-
Development Pool			Previous Years Re-profiled Expenditure	New	2014/15	Actual	Committed	Forecast Outturn	Variance Budget v Actual	Request Carry Forward
Housing Projects			-	-	-	-	-	-	-	-
Leisure and Tourism Projects			-	(331,000)	155,000	-	-	-	-	-
Environment Projects	486,000		-	-	-	-	-	-	-	-
Central Services Projects	54,000		-	(16,400)	37,600	-	-	-	-	-
Corporate Systems	305,000		-	(205,000)	100,000	-	-	-	-	-
Essential Property Maintenance	223,000		-	(73,000)	150,000	-	-	-	-	-
Vehicle Replacements	-		-	-	-	-	-	-	-	-
Total	1,068,000		-	(625,400)	442,600					
Development Pool										
Disabled Facilities Grants										
Contractual										
Stanwick Lakes - Infrastructure		73,000		(73,000)	-	-	-	-	-	-
Purchase of Wheeled Bins		-		-	-	-	-	-	-	-
Business Critical										
AMP - Pemberton Centre		155,000		-	155,000	-	-	-	-	-
AMP - Nene Centre		-		-	-	-	-	-	-	-
AMP - Rushden Centre		-		-	-	-	-	-	-	-
AMP - East Northamptonshire House		125,000		-	125,000	-	-	-	-	-
AMP - Industrial Units		25,000		-	25,000	-	-	-	-	-
AMP - Polebrook Cemeteries		-		-	-	-	-	-	-	-
Splash Pool - Emergency & Improvement Plan		331,000		(331,000)	-	-	-	-	-	-
Print Room Asset Management		24,000		-	24,000	-	-	-	-	-
Replacement Dog Warden Vans		-		-	-	-	-	-	-	-
Replacement Hardware (PC S, Monitors & Laptops)		30,000		(33,335)	(3,335)	-	-	-	-	-
Data Infrastructure Equipment		10,000		-	10,000	-	-	-	-	-
Security Systems/GovConnect		20,000		-	20,000	-	-	-	-	-
Licences		100,000		(100,000)	-	-	-	-	-	-
DR/Business Continuity		25,000		(25,000)	-	-	-	-	-	-
Replacement Printers & Scanners		15,000		(15,000)	-	-	-	-	-	-
Replacement Servers		10,000		-	10,000	-	-	-	-	-
Upgrade for Uninterrupted Power Supply to server room (UPS for L12)		-		-	-	-	-	-	-	-
Storage/Replacement SAN		-		-	-	-	-	-	-	-
Upgrade Public Access and TLC systems (CAPS Solutions/ERMS)		10,000		-	10,000	-	-	-	-	-
CRM Upgrades		-		-	-	-	-	-	-	-
Telephone System		15,000		(11,665)	3,335	-	-	-	-	-
ESRI - GIS Upgrade		-		-	-	-	-	-	-	-
Oracle Licensing & Physical servers		40,000		-	40,000	-	-	-	-	-
Oracle Development		10,000		-	10,000	-	-	-	-	-
Server 2003 replacement		10,000		(10,000)	-	-	-	-	-	-
Revenues and Benefits Upgrade		10,000		(10,000)	-	-	-	-	-	-
Invest to Save										
Replacement Finance System		-		-	-	-	-	-	-	-
Service Enhancement										
HI 4 EM (Moved from approved to development Fin.Sub 22/10/14)		-		39,020	39,020	-	-	-	-	-
HI 4 EM (Moved to approved to fund DFG's -P&R 10/11/14)		-		(39,020)	(39,020)	-	-	-	-	-
Performance Management Solution		30,000		(16,400)	13,600	-	-	-	-	-
Total	1,068,000		-	(625,400)	442,600			442,600		
Total Approved Programme and Development Pool		1,705,539	245,901		1,991,740	956,059	123,960	1,996,740		

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Project Information

Project Name:	<input style="width: 90%;" type="text" value="Security Systems\Gov Connect"/>		
Head of Service:	<input style="width: 40%;" type="text" value="Phil Grimley"/>	CapEx Reference number:	<input style="width: 10%;" type="text"/> (to be completed by Finance)
Contact/Officer leading project:	<input style="width: 40%;" type="text" value="Ian Peters"/>	Statutory required Project:	<input style="width: 10%;" type="text" value="No"/> (Please select from drop down menu)
Estimated project cost (£):	<input style="width: 40%;" type="text" value="£21,800"/>	Invest to Save Project:	<input style="width: 10%;" type="text" value="No"/> (Please select from drop down menu)
Project Preferred Start Date:	<input style="width: 40%;" type="text" value="01 December 2014"/>	Project Preferred End Date:	<input style="width: 10%;" type="text" value="01 February 2014"/>
Submitted by:	<input style="width: 40%;" type="text" value="Ian Peters"/>	Date submitted:	<input style="width: 10%;" type="text" value="07 November 2014"/>

Brief project description:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

The Council's current Citrix environment requires an upgrade to meet PSN Security standards. Citrix is a core technology for the Council as it delivers all applications internally and provides remote access for all users and suppliers. In recent months, we have been having performance issues and advice has been given to recommend an upgrade to the infrastructure. This work in brief will involve the following.

- Review by external third parties to help plan the way forward.
- Build new Citrix servers with the Council's existing virtualised environment.
- Upgrade to the latest version of all Citrix platforms, including the replacement of the Citrix Access Gateway, used for remote Access, with a new Netscaler device.
- Phased rollout to all users.

Business Continuity Risk: Medium (Please select from drop down menu)

Project Objective/Outcome:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

To review and design a secure and future proof Citrix environment, to meet the business needs of the Council.

To provide a new Citrix infrastructure running on the latest versions of software within a virtualised environment for resilience.

To engage users during the work to ensure they are aware of and happy with the changes being made.

To provide appropriate training for the ICT team, to ensure the system can be fully supported.

Financial Information

	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total:
Capital Expenditure (£) :		£20,000									£20,000

Project Funding (£):											
Grant:											£0
S106:											£0
Revenue Contribution:											£0
Reserves:		£20,000									£20,000
Borrowing:											£0

Assets:

Asset Type: (Please select from drop down menu)

Life of Asset (Years):

	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total:
Loss of interest (Reserves):	£0	£200	£200	£200	£200	£200	£200	£200	£200	£200	£1,800
Interest Charge (Borrowing):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Minimum Reserve Provision (MRP):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Total Impact on Revenue:	£0	£200	£200	£200	£200	£200	£200	£200	£200	£200	£1,800
On-going Revenue costs:											£0
On-going Revenue (savings):											£0

Description of Revenue costs/(savings):

Revenue Cost Centre:

	/		
	/		
	/		

Total cost to Authority: £21,800

Sunk costs:

Please identify any costs already incurred to progress the proposed scheme to this stage.

Description	Cost centre	Internally funded	Externally funded	2012/13	2013/14	2014/15	Total: £
							£0
							£0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

									£0
									£0
									£0
Total:		£0	£0	£0	£0	£0	£0	£0	

Efficiencies & Savings - Non-cashable

Please identify any efficiencies and savings associated with the scheme that are non-cashable along with an estimate of timeframes you expect them to be realised.

Non-cashable efficiencies and savings are attributable to the scheme but which cannot be cashed.

Please indicate if the efficiencies and savings are one-off and the year in which you expect them to be realised.

Description	On-off saving	2014/15 £	2015/16 £	2016/17 £	2017/18 £	2018/19 £	Future Years	Total: £
								£0
								£0
								£0
								£0
								£0
		£0	£0	£0	£0	£0	£0	£0

Net Present Value (NPV):

Compares the present value of money today to the present value of money in future, taking inflation and returns into account.

£18,519

Payback:

The period of time required for the return on investment to "repay" the sum of the original investment.

0

Corporate Information

Corporate Priorities:

Please select from the drop down menus the Council's Corporate Priority perspectives that the project will meet:

Improvement for the Community

Council Services which provide good value for money

Effective Processes

High quality service delivery
Effective Management

How we learn and grow

Risk Assessment:

Please select scores from the drop down menus.

To assist you with your scoring, please refer to Impact Descriptors and Likelihood Descriptors within the Guidance Notes.

Please identify any project risks:

	Description:	Impact Score	Likelihood Score	Risk Exposure
1	Conflicting workloads within ICT	2	1	2
2				0
3				0
4				0
5				0
6				0

Options appraised:

Please provide details of any options already appraised giving reasons why they are not the preferred option.

Citrix is a core technology for the Council. We need to upgrade the current environment to remain in support. We have Citrix expertise within the ICT team at present. Therefore, we believe we have no other viable options other than to upgrade, to ensure we remain in support for this product and can provide a secure infrastructure into the future.

Challenges:

Please provide details of any challenges to implementation and benefits realisations that you feel are likely

Assumptions:

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Please provide details of any assumption that have been made but not covered in the application so far.

Organisational Capacity/Capability to deliver:

Please provide brief details of what has been done to ensure that the Council has the capacity/capability to carry out the project.

Sign off:

You will need to ensure that the project is signed off at each approval stage:

Sign-off:	Name:	Signature:	Date:
Head of Service:	Phil Grimley		
Chief Finance Officer:			
Finance Sub-Committee:			
Policy & Resource Committee:			

Entered on to Capital Programme:

Date:	
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