



East
Northamptonshire
Council

Finance Sub-Committee 07 May 2014

Capital Programme Review

Purpose of report

To provide an update to the capital programme approved as part of the Medium Term Financial Strategy (MTFS) in February 2014.

Attachment(s):

Appendix 1 – Updated Capital Programme 2014/15 to 2023/24

Appendix 2 – Changes Audit Trail

Appendix 3 – Scheme Progression Supporting Documents

1. Overview

- 1.1. The main aim of the Capital Programme 2014/15 to 2023/24 is to set out a programme which is **affordable**, ensures **business continuity** for the Council's services and **enables investment** in Council priorities. The capital programme going forward will be focussed on maintaining these targets whilst meeting statutory/contractual obligations. We will also seek to invest to improve revenue income streams and reduce service costs through Invest to Save opportunities.
- 1.2. The quarterly 2013/14 monitoring reports and MTFS approved in February 2014 set out the risks surrounding the current capital programme funding streams.
- 1.3. The MTFS showed that, without any further funding streams being identified, the capital programme could be in a permanent deficit position by 2016/17.
- 1.4. As a result of the increased risk, it was proposed that an extensive and detailed review of the capital programme would be undertaken.
- 1.5. While the review of the Capital programme was undertaken it was recommended that new schemes were only promoted from the Development Pool to the Approved Capital Programme where there is a clear funding source. There would be a freeze on capital expenditure for schemes where there was no funding source.

2. Capital Programme Review

- 2.1. The aim of the review was to fully understand what the Council must do in order to maintain service provision and business continuity, identify what expenditure is not essential and investigate possible 'Invest to Save' opportunities to ensure that the capital programme is affordable for 2014/15 and beyond.
- 2.2. The capital programme review commenced at the end of March 2014 with Heads of Service undertaking some internal challenge and scrutiny of the capital programme items within each service area.
- 2.3. The output of the internal challenge process was shared at a joint Member and Officer group to undertake the Capital Programme Review (held on 15 April 2014 at the Council Offices). The meeting provided an open forum for information sharing and challenge of each item within the capital programme.
- 2.4. The discussion points arising from the meeting are as follows:

2.5. Finance

- The Chief Finance Officer presented an overview of the current finance system, set out the current risks and issues and the future possible options.
- A business case is being prepared by the Finance Manager and EnCor on the options for a new finance solution.
- It was noted that the costs included within the development pool are out of date and should be lower.

2.6. ICT

- The Head of ICT presented a discussion document which provided technical information about what is within the ICT capital programme, why it is there and how it supports current and future service provision.
- It was recognised that the numbers contained within the development pool were estimates and the ICT service would continue to work to develop those estimates and bring costs down.
- Where possible the service would explore further efficiencies from the existing shared service arrangement.

2.7. Environmental Services

- Environmental Services managers outlined the current position regarding the items being challenged within the service area.
- Disabled Facilities Grant (DFG) activity is fully externally funded for 2014/15 by capital grant and a contribution from NCC. It is therefore unlikely that any Council contribution would be required for the current financial year.
- There remains a lot of uncertainty about the future delivery of DFG activity and further updates will be provided as they become available.
- An internal review of waste capital programme requirements identified that replacement of wheeled bins would not be required and could be removed from the capital programme.
- The recent decision by P&R Committee in respect of the future of the pest control service means that there will only be a requirement to replace one of the three vehicles in the current fleet.

2.8. Resources and Organisation Development – Asset Management

- The Head of Resources and Organisation Development presented a discussion paper which set out the latest position regarding each of the Council's main assets.
- The timing and nature of expenditure for the **Pemberton Centre** was discussed and challenged. The condition of building electrics was questioned; a survey would be carried out to seek further advice about the urgency of planned expenditure.
- The existing and future use of the **Rushden Centre** was discussed and the effect that the asset value could have upon any future decisions. It was noted that an up to date valuation may be required. Any proposals about the future use of Rushden Centre would be brought forward to a future committee meeting.

- Energy efficiency and lighting at **East Northamptonshire House** were raised as items for further exploration to find out whether there is an Invest to Save opportunity to reduce energy costs.
- Health and Safety issues were raised for fire suppressants and lifts within EN House. Recent surveys and reports have identified potential risks. A future report would set out any future requirements to address any health and safety concerns.
- The options surrounding the future of the Industrial units were raised as something which would require further work. A paper would be taken to a future committee meeting.

2.9. **Customer and Community Services – Stanwick Lakes**

- The Head of Customer and Community Services presented information surrounding the contractual liability that ENC has with Stanwick Lakes and the possible options for funding this liability. The use of Stream 3 of NHB funding and contributions from third parties were raised as potential options to be explored.
- An issue was also raised about which parts of Stanwick Lakes infrastructure the Council was legally obliged to fund.

3. **Revised Capital Programme**

- 3.1. The table below shows the summary of the proposed changes arising from the capital programme review session.

Capital Programme Changes Summary	Total £	Removal of Schemes £	Cost Variation £	New Scheme £	Revised Total £
Approved	637,539	0	0	0	637,539
Development Pool	10,784,693	(205,000)	(677,093)	260,000	10,162,600
Total Capital	11,422,232	(205,000)	(677,093)	260,000	10,800,139

- 3.2. A detailed breakdown of the changes to the capital programme can be found at Appendix 2.

3.3. **Removed Schemes**

- 3.4. Following the internal challenge process and the capital programme review session, three schemes have been identified as no longer being required.

Scheme	Amount Removed £
Replacement of Wheelie bins	(100,000)
Service Transformation Programme	(60,000)
Storage/Replacement SAN	(45,000)
Total	(205,000)

3.5. **Cost Variations**

- 3.6. The review identified that a number of schemes required their cost estimates to be adjusted as they were out of date. The result was that some expenditure was deferred into future years whilst other costs were revised upwards as well as downwards. The detail of all these changes (£677,093) can be found at Appendix 2.

3.7. **New Schemes**

- 3.8. The internal challenge process within each service area identified that there were some schemes which needed to be included within the development pool for future consideration.

Scheme	Amount Included
	£
Performance Management System	30,000
Oracle Licensing & Physical Servers	130,000
Oracle Development	90,000
Server 2003 replacement	10,000
Total	260,000

- 3.9. These schemes need to be added to the development pool will require additional work to be undertaken before they can be put forward for decision as part of the capital governance process during 2014/15.

3.10. **Scheme Progression from Development Pool to Approved Programme**

- 3.11. Three schemes within the development pool have been reviewed as ready to progress into the approved capital programme.
- 3.12. A summary of these schemes is set out in the table below. Additional information relating to these schemes can be found at Appendix 3.

2014/15 Scheme Progression

Scheme Name	Amount	Funding	
		ENC Capital Reserve	NHB Stream 3 Reserve
	£	£	£
Licences	100,000	100,000	
Disaster Recovery Facilities	25,000	25,000	
Stanwick Lakes	73,000		73,000
Total	198,000	125,000	73,000

Draft 31/03/14 position	400,000	200,000
Less: Council decision (23/04/14)	(331,000)	
Less: Possible use above	(125,000)	(73,000)
Estimated remaining resources	(56,000)	127,000

- 3.13. Should all 3 schemes seeking progression be approved this could place the Council in a small capital deficit. This cash flow deficit would need to be funded from 2013/14 underspend (Council resources) in the short term until future asset sales materialise.
- 3.14. Subject to decisions made at Council regarding individual scheme progression, the capital programme could be revised as follows:

Capital Programme Changes Summary	Revised Total £	Scheme Progression £	Proposed Total £
Approved	637,539	198,000	835,539
Development Pool	10,162,600	(198,000)	9,964,600
Total Capital Programme	10,800,139	0	10,800,139

3.15. ICT Investment – Supporting existing service provision

- 3.16. The Licences and Disaster Recovery schemes originate from the ICT service area. Both these schemes represent business critical activity which, if not included within the capital programme, would create unacceptable risks to the Council's ability to maintain services and put an immediate pressure on the Council's revenue budget.
- 3.17. For 2014/15 it is anticipated that there would not be sufficient capital resources to support these schemes in the short term. Based on the limited capital resources available to the Council, funding for other ICT schemes will be considered on a case by case basis via the capital governance process.
- 3.18. This report seeks approval of the 2014/15 capital expenditure only. It must however be noted that some ICT expenditure within the capital programme will be required beyond 2014/15, primarily to ensure ongoing Microsoft license compliance and to continue with the capitalisation of the revenue programme, started in 2011, as a result of changes to the IFRS regulations.
- 3.19. There is an ongoing need for up-to-date Microsoft licenses as old platforms become obsolete and as new business requirements become apparent. The Council is reliant on Microsoft technologies throughout its ICT estate and at this point in time, it is not viable to move away from these solutions. To replace with alternatives could be possible but will need significant planning and resourcing, and there are no guarantees that core business applications will run on these platforms. It is therefore not currently feasible to use anything other than Microsoft technologies in the short to medium term to provide the core ICT software infrastructure.
- 3.20. ICT would propose an ongoing programme of investment into a Microsoft Enterprise Agreement. Without continued financial contributions, significant investment will be required each time a Microsoft product goes out of support or a new project has new software requirements. Without this investment, there is also a high risk of a Microsoft audit, which will result in a need to purchase a large amount of licenses at very short notice. Decisions will need to be made in future years about how this is funded, whether this is via capital resources or other identified funding.

3.21. Leisure Investment – Working towards a Sustainable Capital Finance Plan for Stanwick Lakes

- 3.22. Stanwick Lakes is now a well known and well used public attraction. It is a district-wide facility which supports the Council's objectives.
- 3.23. The land at Stanwick Lakes was leased to Rockingham Forest Trust (RFT) on a 125 year lease from 2002.
- 3.24. The lease includes the following important clauses:
- **Clause 3.11 Managing Board**
Schedule 2 – includes a Heads of Agreement for the management board which requires 4 members of the Trust and 2 members of ENC to
 - *provide effective management arrangements for the operation of Stanwick Lakes*
 - *encourage the use of the property by the public for leisure and recreation*
 - *work with the community and statutory and voluntary agencies to meet common objectives**Note – Currently Cllrs Greenwood-Smith, D Hughes and R Lewis sit on the management board*
 - **Clause 4.4 Site Condition and Existing Structures**
 - The Council and the Tenant agree that the cost of maintenance and repairs of all structures on the Land (including the cost of remedial works and other works to make such structures safe) will be the

responsibility of the Council with the Tenant being responsible for the undertaking of any necessary risk assessments and remedial/protection works agreed with the Council

- Any such works that are undertaken for the safety and protection of the public will be periodically inspected by the Tenant in accordance with the requirements of its insurers

3.25. In line with the Council's responsibility for making appropriate provision for the maintenance and development of assets and fulfilling its responsibilities within the lease, the Stanwick Lakes Management Board has developed and presented its long term sustainable capital finance plan.

3.26. It is important to note that RFT has decided that, despite the Council's lease responsibilities, they are able to make some contribution from their revenue stream towards the cost of maintenance and repairs to the site and also intend to seek external funding contributions towards the cost of the work.

3.27. The table below sets out the proposed capital contribution to Stanwick Lakes:

	2014/15	2015/16	2016/17	2017/18	2018/19	Total
Total Capital Expenditure	£128,000	£126,000	£124,000	£130,000	£120,000	£628,000
RFT Contribution	(£45,000)	(£45,000)	(£45,000)	(£45,000)	(£45,000)	(£225,000)
Fundraising	(£10,000)	(£10,000)	(£10,000)	(£10,000)	(£10,000)	(£50,000)
ENC Contribution	£73,000	£71,000	£69,000	£75,000	£65,000	£353,000

3.28. It is being proposed that the Council's contractual obligation is funded via Stream 3 of the NHB allocation, which is being set aside for Community Projects and Infrastructure.

3.29. This report seeks progression of the 2014/15 allocation (£73k) from the development pool to the approved part of the capital programme. It should be noted that the minimum estimated contractual liability for the railway bridge works is £210k. Therefore a further £137k will need to be funded in future years.

3.30. The Council is seeking clarification around its other contractual liabilities and these will be reported to a future committee meeting.

3.31. Other schemes within the development pool

3.32. The schemes remaining within the development pool will be reviewed during the year as part of the capital governance process.

3.33. Revised funding position

3.34. As identified above, the capital reserves could be fully depleted subject to any decisions taken. The asset sales programme is progressing well and it is anticipated that further capital receipts will be realised during the current financial year.

3.35. Long Term Capital Programme Funding

3.36. As set out in Appendix 1, the 10 year total capital programme is £10.8m. The known funded sources are set out in the table below. The capital grant is a projection of the current DFG allocation, this is subject to change and any updates will be reported as they become known.

Long Term Position	£m
10 year capital programme	10.800
Capital Grant	(1.929)
Reserves	(0.112)
Revenue Contribution	(0.800)
Unfunded Expenditure	7.959

- 3.37. The current asset sales programme is ongoing and could fund a proportion of the unfunded expenditure above.
- 3.38. The Council will need to consider alternative ways to fund its capital programme over the long term. The default position would be that future essential capital expenditure would be funded from revenue, which would place additional pressure on the Council's revenue budget.

4. Next Steps

- 4.1. Following the scrutiny and challenge at the review a number of actions arose, as set out in this report, which will assist with ensuring the capital programme cost estimates and timing are robust. The actions arising are summarised below:

Capital Programme Review Action List

Service	Scheme	Action	Timescale
FIN	Finance System	Business case preparation for finance solution	Summer 2014
ENV	Housing Stock Condition Survey	Review need for scheme	Q2 2014/15
ROD	Asset Management	Electrical survey at Pemberton Centre	Q1 2014/15
		Undertake valuation for Rushden Centre	Q1 2014/15
		Undertake lighting survey for EN House	Q2 2014/15
		Obtain quotes for fire suppressant in server room	Q1 2014/15
		Establish lift operating standards and compliance	Q2 2014/15
		Undertake options appraisal for Industrial Units	2014/15
		Undertake options appraisal for Print Room	Q2 2014/15
	Performance Management	Undertake options appraisal for Performance Management Solution	Q2 2014/15
CCS	Stanwick Lakes	Establish extent of ENC contractual liability	Q1 2014/15

5. Capital Governance Process

- 5.1. The Council has made improvements to managing and controlling capital spending through the introduction of new governance arrangements. These arrangements are providing better transparency surrounding the changes to the capital programme. Further enhancements were developed as part of the budget to reduce the risk that the capital programme becomes unaffordable.
- 5.2. The timetable for future progression of capital schemes via the capital governance process is outlined below:

	Phase 2	Phase 3	Phase 4	Phase 5	Phase 6
Scheme Preparation	May - Jun	Jul - Aug	Sep - Oct	Oct - Nov	Dec - Jan
CMT Sign Off	24/06/2014	26/08/2014	07/10/2014	18/11/2014	27/01/2015
FSC	07/07/2014	08/09/2014	22/10/2014	01/02/2014	09/02/2015

6. Equality and Diversity Implications

6.1. There are no known equalities issues arising from this report.

7. Legal Implications

7.1. There are no known legal implications arising from this report.

8. Risk Management

8.1. This risks arising from this report are recorded in the Council's Risk Register. The risks are subject to regular review and update.

9. Financial Implications

9.1. This report is of a financial nature. The financial implications are set out in this report.

10. Corporate Outcomes

10.1. This report links to the following Corporate Outcomes:

- Effective Management
Ensuring financial sustainability over the medium term, contributing to the effective management of the Council

11. Recommendations

11.1. The Sub-committee is recommended to:

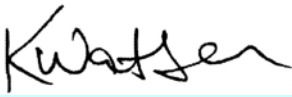
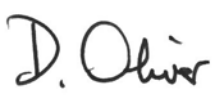
- a) Note the proposed reductions to the capital programme and the actions being taken to investigate possible further reductions, and resolve to recommend to Policy & Resources Committee that the revised Total Capital Programme be approved (Approved and Development Pool)

(Reason – to deliver the Council's capital expenditure plans for 2014/15)

- b) Resolve to recommend to Policy & Resources that the 2014/15 expenditure for the three schemes identified within the report are approved and progressed from the development pool into the approved section of the capital programme

- Licences (Partly 2013/14 underspend and funded by ENC capital reserve)
- Disaster Recovery (funded by ENC capital reserve)
- Stanwick Lakes (funded by NHB Stream 3 reserve)

(Reason – to deliver the Council's capital expenditure plans for 2014/15)

Legal	Power: Local Government Finance Act 1992				
	Other considerations:				
Background Papers: MTFS 2014/15 to 2017/18					
Person Originating Report: Kelly Watson, Finance Manager kwatson@east-northamptonshire.gov.uk					
Date: 23 April 2014					
CFO 25/4/14		MO		CX 25/4/14	

(Committee Report Normal Rev. 22)

East Northamptonshire Council Capital Programme

Approved Capital Programme	2014/15 Estimate £	2015/16 Estimate £	2016/17 Estimate £	2017/18 Estimate £	2018/19 Estimate £	2019/20 Estimate £	2020/21 Estimate £	2021/22 Estimate £	2022/23 Estimate £	2023/24 Estimate £	Total £	Capital Grant	Other Grant	NHB	Earmarked Reserves	Revenue Contribution	Unfunded	Total Funding
Environmental Services	637,539	-	-	-	-	-	-	-	-	-	637,539	178,107	220,412	-	39,020	200,000	-	637,539
Total	637,539	-	-	-	-	-	-	-	-	-	637,539	178,107	220,412	-	39,020	200,000	-	637,539
Development Pool	2014/15 Estimate £	2015/16 Estimate £	2016/17 Estimate £	2017/18 Estimate £	2018/19 Estimate £	2019/20 Estimate £	2020/21 Estimate £	2021/22 Estimate £	2022/23 Estimate £	2023/24 Estimate £	Total £							
Environmental Services	-	600,000	600,000	600,000	400,000	416,600	400,000	400,000	400,000	400,000	4,216,600	1,530,000	-	-	-	600,000	2,086,600	4,216,600
Customer and Community Services	73,000	71,000	69,000	75,000	65,000	-	-	-	-	-	353,000	-	-	73,000	-	-	280,000	353,000
Finance	-	40,000	-	-	-	-	-	-	-	-	40,000	-	-	-	-	-	40,000	40,000
ICT	305,000	534,000	369,000	650,000	312,000	272,000	512,000	337,000	462,000	182,000	3,935,000	-	-	-	-	-	3,935,000	3,935,000
Resources	690,000	95,000	139,000	80,000	320,000	64,000	100,000	70,000	10,000	50,000	1,618,000	-	-	-	-	-	1,618,000	1,618,000
Total	1,068,000	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,162,600	1,530,000	-	73,000	-	600,000	7,959,600	10,162,600
Total Approved Programme and Development	1,705,539	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,800,139	1,708,107	220,412	73,000	39,020	800,000	7,959,600	10,800,139

Approved Capital Project	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total	Capital Grant	Other Grant	NHB	Earmarked Reserves	Revenue Contribution	Unfunded	Total Funding	
Disabled Facilities Grants	398,519	-	-	-	-	-	-	-	-	-	398,519	178,107	220,412	-	-	-	-	398,519	
Housing Stock Condition Survey (HI 4 EM)	39,020	-	-	-	-	-	-	-	-	-	39,020	-	-	-	39,020	-	-	39,020	
Purchase of Wheeled Bins	200,000	-	-	-	-	-	-	-	-	-	200,000	-	-	-	-	200,000	-	200,000	
Total	637,539	-	-	-	-	-	-	-	-	-	637,539	178,107	220,412	-	39,020	200,000	-	637,539	
Development Pool	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total								
Statutory																			
Disabled Facilities Grants		400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,600,000	1,530,000	-	-	-	-	2,070,000	3,600,000	
Total Statutory	-	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,600,000	1,530,000	-	-	-	-	2,070,000	3,600,000	
Contractual																			
Stanwick Lakes Infrastructure	73,000	71,000	69,000	75,000	65,000	-	-	-	-	-	353,000	-	-	73,000	-	-	280,000	353,000	
Purchase of Wheeled Bins	-	200,000	200,000	200,000	-	-	-	-	-	-	600,000	-	-	-	600,000	-	-	600,000	
Total Contractual	73,000	271,000	269,000	275,000	65,000	-	-	-	-	-	953,000	-	-	73,000	-	600,000	280,000	953,000	
Business Critical																			
AMP - Pemberton Centre	155,000	20,000	-	40,000	-	-	-	-	-	10,000	225,000	-	-	-	-	-	225,000	225,000	
AMP - Nene Centre	-	-	-	-	-	-	-	-	-	10,000	10,000	-	-	-	-	-	10,000	10,000	
AMP - Rushden Centre	-	10,000	35,000	-	-	-	30,000	-	-	-	75,000	-	-	-	-	-	75,000	75,000	
AMP - East Northamptonshire House	125,000	45,000	10,000	10,000	10,000	30,000	10,000	-	-	10,000	250,000	-	-	-	-	-	250,000	250,000	
AMP - Industrial Units	25,000	-	30,000	30,000	300,000	-	-	-	-	-	385,000	-	-	-	-	-	385,000	385,000	
AMP - Polebrook Cemeteries	-	-	10,000	-	-	10,000	-	-	10,000	-	30,000	-	-	-	-	-	30,000	30,000	
AMP - Splash	331,000	-	24,000	-	10,000	-	40,000	40,000	-	20,000	465,000	-	-	-	-	-	465,000	465,000	
Print Room Asset Management	24,000	20,000	30,000	-	-	24,000	20,000	30,000	-	-	148,000	-	-	-	-	-	148,000	148,000	
Replacement Dog Warden Vans	-	-	-	-	-	16,600	-	-	-	-	16,600	-	-	-	-	-	16,600	16,600	
Replacement Hardware (PC'S, Monitors & Laptops)	30,000	30,000	30,000	23,000	35,000	35,000	15,000	15,000	15,000	15,000	243,000	-	-	-	-	-	243,000	243,000	
Data Infrastructure Equipment	10,000	120,000	10,000	10,000	10,000	10,000	100,000	10,000	10,000	10,000	300,000	-	-	-	-	-	300,000	300,000	
Security Systems/GovConnect	20,000	10,000	30,000	10,000	10,000	10,000	10,000	10,000	10,000	-	120,000	-	-	-	-	-	120,000	120,000	
Licences	100,000	119,000	119,000	137,000	137,000	137,000	137,000	137,000	137,000	137,000	1,297,000	-	-	-	-	-	1,297,000	1,297,000	
Disaster Recovery facilities (Previously Rushden Centre BC/DR)	25,000	-	-	-	-	-	-	40,000	40,000	-	105,000	-	-	-	-	-	105,000	105,000	
Replacement Printers & Scanners	15,000	5,000	15,000	5,000	10,000	10,000	10,000	10,000	10,000	10,000	100,000	-	-	-	-	-	100,000	100,000	
Replacement Servers	10,000	10,000	10,000	80,000	10,000	10,000	10,000	10,000	80,000	10,000	240,000	-	-	-	-	-	240,000	240,000	
Upgrade for Uninterrupted Power Supply to server room (UPS for L12)	-	-	-	25,000	-	-	-	-	-	-	25,000	-	-	-	-	-	25,000	25,000	
Storage/Replacement SAN	-	-	50,000	15,000	20,000	10,000	10,000	60,000	15,000	-	180,000	-	-	-	-	-	180,000	180,000	
Upgrade Public Access and TLC systems (CAPS Solutions/ERMS)	10,000	40,000	10,000	10,000	20,000	10,000	200,000	10,000	10,000	-	320,000	-	-	-	-	-	320,000	320,000	
CRM Upgrades	-	70,000	-	300,000	-	-	-	-	-	-	370,000	-	-	-	-	-	370,000	370,000	
Telephone System	15,000	100,000	-	10,000	-	10,000	-	10,000	-	-	145,000	-	-	-	-	-	145,000	145,000	
ESRI - GIS Upgrade	-	-	70,000	-	-	10,000	-	-	70,000	-	150,000	-	-	-	-	-	150,000	150,000	
Oracle Licensing & Physical servers	40,000	10,000	-	-	40,000	-	-	-	40,000	-	130,000	-	-	-	-	-	130,000	130,000	
Oracle Development	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	-	90,000	-	-	-	-	-	90,000	90,000	
Server 2003 replacement	10,000	-	-	-	-	-	-	-	-	-	10,000	-	-	-	-	-	10,000	10,000	
Revenues and Benefits Upgrade	10,000	10,000	15,000	15,000	10,000	10,000	10,000	15,000	15,000	-	110,000	-	-	-	-	-	110,000	110,000	
Total Business Critical	965,000	629,000	508,000	730,000	632,000	352,600	612,000	407,000	472,000	232,000	5,539,600	-	-	-	-	-	5,539,600	5,539,600	
Invest to Save																			
Replacement Finance System	-	40,000	-	-	-	-	-	-	-	-	40,000	-	-	-	-	-	40,000	40,000	
Total Invest to Save	-	40,000	-	-	-	-	-	-	-	-	40,000	-	-	-	-	-	40,000	40,000	
Service Enhancement																			
Performance Management Solution	30,000	-	-	-	-	-	-	-	-	-	30,000	-	-	-	-	-	30,000	30,000	
Total Service Enhancement	30,000	-	-	-	-	-	-	-	-	-	30,000	-	-	-	-	-	30,000	30,000	
Total Development Pool	1,068,000	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,162,600	1,530,000	-	73,000	-	600,000	7,959,600	10,162,600	
Total Approved Programme and Development	1,705,539	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,800,139	1,708,107	220,412	73,000	39,020	800,000	7,959,600	10,800,139	

Key

High Risk (Urgent , Business Critical)

Medium Risk

Future Requirement, Service Enhancement, Invest to save

East Northamptonshire Council Capital Programme

Approved Capital Programme	2014/15 Estimate £	2015/16 Estimate £	2016/17 Estimate £	2017/18 Estimate £	2018/19 Estimate £	2019/20 Estimate £	2020/21 Estimate £	2021/22 Estimate £	2022/23 Estimate £	2023/24 Estimate £	Total £
Housing Projects	437,539	-	-	-	-	-	-	-	-	-	437,539
Environment Projects	200,000	-	-	-	-	-	-	-	-	-	200,000
Total	637,539	-	-	-	-	-	-	-	-	-	637,539

Development Pool	2014/15 Estimate £	2015/16 Estimate £	2016/17 Estimate £	2017/18 Estimate £	2018/19 Estimate £	2019/20 Estimate £	2020/21 Estimate £	2021/22 Estimate £	2022/23 Estimate £	2023/24 Estimate £	Total £
Housing Projects	221,893	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,821,893
Leisure and Tourism Projects	73,000	71,000	69,000	75,000	65,000	-	-	-	-	-	353,000
Environment Projects	10,000	210,000	210,000	210,000	10,000	10,000	10,000	10,000	10,000	10,000	700,000
Corporate Systems	519,000	415,000	405,000	638,000	295,000	359,000	495,000	405,000	445,000	210,000	4,186,000
Essential Property Maintenance	720,000	130,000	74,000	135,000	330,000	55,000	90,000	50,000	30,000	60,000	1,674,000
Vehicle Replacements	-	-	-	-	16,600	16,600	16,600	-	-	-	49,800
Total	1,543,893	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	10,784,693

Total Approved Programme and Development Pool	2,181,432	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	11,422,232
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MTFS Capital Programme as at 26 Feb 2014

East Northamptonshire Council Capital Programme

Approved Capital Project	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total
Disabled Facilities Grants	398,519	-	-	-	-	-	-	-	-	-	398,519
HI 4 EM	39,020	-	-	-	-	-	-	-	-	-	39,020
Purchase of Wheeled Bins	200,000	-	-	-	-	-	-	-	-	-	200,000
Total	637,539	-	-	-	-	-	-	-	-	-	637,539

Development Pool	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total
Statutory											
Disabled Facilities Grants	221,893	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,821,893
Total Statutory	221,893	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,821,893

Contractual											
Stanwick Lakes Infrastructure	73,000	71,000	69,000	75,000	65,000	-	-	-	-	-	353,000
Purchase of Wheeled Bins	-	200,000	200,000	200,000	-	-	-	-	-	-	600,000
Total Contractual	73,000	271,000	269,000	275,000	65,000	-	-	-	-	-	953,000

Business Critical											
Asset Management Plan	720,000	130,000	74,000	135,000	330,000	55,000	90,000	50,000	30,000	60,000	1,674,000
Replacement Hardware (PC`S, Monitors & Laptops)	30,000	30,000	30,000	23,000	35,000	35,000	15,000	15,000	15,000	15,000	243,000
Data Infrastructure Equipment	130,000	-	10,000	10,000	10,000	100,000	10,000	10,000	10,000	10,000	300,000
Security Systems/GovConnect	10,000	10,000	30,000	10,000	10,000	10,000	10,000	10,000	10,000	-	110,000
Licences	125,000	120,000	155,000	110,000	135,000	120,000	115,000	150,000	150,000	150,000	1,330,000
Rushden Centre BC/DR	25,000	-	-	-	-	-	-	40,000	40,000	-	105,000
Print Room Asset Management	24,000	20,000	30,000	-	-	24,000	20,000	30,000	-	-	148,000
Replacement of Wheelie Bins	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	100,000
Replacement Dog Warden Vans	-	-	-	-	16,600	16,600	16,600	-	-	-	49,800
Replacement Printers & Scanners	15,000	5,000	15,000	5,000	10,000	10,000	10,000	10,000	10,000	10,000	100,000
Replacement Servers	-	-	-	70,000	45,000	10,000	10,000	25,000	25,000	25,000	210,000
Replacement Sun Server	-	-	30,000	-	-	-	-	30,000	30,000	-	90,000
UPS for L12	-	-	-	60,000	-	-	-	-	-	-	60,000
Storage/Replacement SAN	-	-	50,000	15,000	20,000	10,000	10,000	60,000	60,000	-	225,000
CAPS Solutions/ERMS	10,000	40,000	10,000	10,000	20,000	10,000	200,000	10,000	10,000	-	320,000
CRM Upgrades	70,000	-	-	300,000	-	-	-	-	-	-	370,000
Telephone System	-	100,000	-	10,000	-	10,000	-	-	-	-	120,000
Council Chamber Project Screen	-	-	20,000	-	-	-	25,000	-	-	-	45,000
ESRI - GIS Upgrade	70,000	-	10,000	-	-	10,000	-	-	70,000	-	160,000
Service Transformation Programme	-	-	-	-	-	-	60,000	-	-	-	60,000
Revenues and Benefits Upgrade	10,000	10,000	15,000	15,000	10,000	10,000	10,000	15,000	15,000	-	110,000
Total Business Critical	1,249,000	475,000	489,000	783,000	651,600	440,600	611,600	465,000	485,000	280,000	5,929,800

Invest to Save											
Replacement Finance System	-	80,000	-	-	-	-	-	-	-	-	80,000
Total Invest to Save	-	80,000	-	-	-	-	-	-	-	-	80,000
Service Enhancement											
	-	-	-	-	-	-	-	-	-	-	-
Total Service Enhancement	-	-	-	-	-	-	-	-	-	-	-

Total Development Pool	1,543,893	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	10,784,693
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Total Approved Programme and Development Pool	2,181,432	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	11,422,232
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Approved Capital Project	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total
Disabled Facilities Grants	398,519	-	-	-	-	-	-	-	-	-	398,519
Housing Stock Condition Survey (HI 4 EM)	39,020	-	-	-	-	-	-	-	-	-	39,020
Purchase of Wheeled Bins	200,000	-	-	-	-	-	-	-	-	-	200,000
Total	637,539	-	-	-	-	-	-	-	-	-	637,539

Development Pool	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	Total
Statutory											
Disabled Facilities Grants		400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,600,000
Total Statutory	-	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	400,000	3,600,000

Contractual											
Stanwick Lakes Infrastructure	73,000	71,000	69,000	75,000	65,000	-	-	-	-	-	353,000
Purchase of Wheeled Bins	-	200,000	200,000	200,000	-	-	-	-	-	-	600,000
Total Contractual	73,000	271,000	269,000	275,000	65,000	-	-	-	-	-	953,000

Business Critical											
AMP - Pemberton Centre	155,000	20,000		40,000						10,000	225,000
AMP - Nene Centre										10,000	10,000
AMP - Rushden Centre	-	10,000	35,000	-	-	-	30,000	-	-	-	75,000
AMP - East Northamptonshire House	125,000	45,000	10,000	10,000	10,000	30,000	10,000	-	-	10,000	250,000
AMP - Industrial Units	25,000	-	30,000	30,000	300,000	-	-	-	-	-	385,000
AMP - Polebrooke Cemeteries	-	-	10,000	-	-	10,000	-	-	10,000	-	30,000
AMP - Splash	331,000		24,000		10,000		40,000	40,000		20,000	465,000
Print Room Asset Management	24,000	20,000	30,000			24,000	20,000	30,000			148,000
Service Transformation Programme	-	-	-	-	-	-	-	-	-	-	-
Replacement of Wheelie Bins	-	-	-	-	-	-	-	-	-	-	-
Replacement Dog Warden Vans	-	-	-	-	-	16,600	-	-	-	-	16,600
Replacement Hardware (PC'S, Monitors & Laptops)	30,000	30,000	30,000	23,000	35,000	35,000	15,000	15,000	15,000	15,000	243,000
Data Infrastructure Equipment	10,000	120,000	10,000	10,000	10,000	10,000	100,000	10,000	10,000	10,000	300,000
Security Systems/GovConnect	20,000	10,000	30,000	10,000	10,000	10,000	10,000	10,000	10,000	-	120,000
Licences	100,000	119,000	119,000	137,000	137,000	137,000	137,000	137,000	137,000	137,000	1,297,000
Disaster Recovery facilities (Previously Rushden Centre BC/DR)	25,000	-	-	-	-	-	-	40,000	40,000	-	105,000
Replacement Printers & Scanners	15,000	5,000	15,000	5,000	10,000	10,000	10,000	10,000	10,000	10,000	100,000
Replacement Servers	10,000	10,000	10,000	80,000	10,000	10,000	10,000	10,000	80,000	10,000	240,000
Replacement Sun Server	-	-	-	-	-	-	-	-	-	-	-
Upgrade for Uninterrupted Power Supply to server room (UPS)	-	-	-	25,000	-	-	-	-	-	-	25,000
Storage/Replacement SAN	-	-	50,000	15,000	20,000	10,000	10,000	60,000	15,000	-	180,000
Upgrade Public Access and TLC systems (CAPS Solutions/ERMS)	10,000	40,000	10,000	10,000	20,000	10,000	200,000	10,000	10,000	-	320,000
CRM Upgrades		70,000		300,000							370,000
Telephone System	15,000	100,000		10,000		10,000		10,000			145,000
Council Chamber Project Screen											
ESRI - GIS Upgrade			70,000			10,000			70,000		150,000
Oracle Licensing & Physical servers	40,000	10,000			40,000				40,000		130,000
Oracle Development	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000		90,000
Server 2003 replacement	10,000										10,000
Revenues and Benefits Upgrade	10,000	10,000	15,000	15,000	10,000	10,000	10,000	15,000	15,000		110,000
Total Business Critical	965,000	629,000	508,000	730,000	632,000	352,600	612,000	407,000	472,000	232,000	5,539,600

Invest to Save											
Replacement Finance System	-	40,000	-	-	-	-	-	-	-	-	40,000
Total Invest to Save	-	40,000	-	-	-	-	-	-	-	-	40,000

Service Enhancement											
Performance Management Solution	30,000										30,000
Total Service Enhancement	30,000	-	-	-	-	-	-	-	-	-	30,000

Total Development Pool	1,068,000	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,162,600
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Total Approved Programme and Development Pool	1,705,539	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,800,139
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Key

Changes to 26 Feb position

New addition

MTFS Capital Programme Reconciliation

East Northamptonshire Council Capital Programme

Capital Programme Changes	2014/15 Estimate £	2015/16 Estimate £	2016/17 Estimate £	2017/18 Estimate £	2018/19 Estimate £	2019/20 Estimate £	2020/21 Estimate £	2021/22 Estimate £	2022/23 Estimate £	2023/24 Estimate £	Total £	
Approved Programme and Development Pool @ 26 Feb 2	2,181,432	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	11,422,232	
Total Approved Programme @ 26 Feb 2014	637,539	-	-	-	-	-	-	-	-	-	637,539	
Development Pool @ 26 Feb 2014	1,543,893	1,226,000	1,158,000	1,458,000	1,116,600	840,600	1,011,600	865,000	885,000	680,000	10,784,693	
Disabled Facilities Grants	(221,893)										(221,893)	Cost Variation
Replacement of Wheelie Bins	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(100,000)	Removed
Replacement Dog Warden Vans					(16,600)		(16,600)				(33,200)	Cost Variation
Replacement Finance System		(40,000)									(40,000)	Cost Variation
Asset Management Plan	(720,000)	(130,000)	(74,000)	(135,000)	(330,000)	(55,000)	(90,000)	(50,000)	(30,000)	(60,000)	(1,674,000)	Cost Variation
AMP - Pemberton Centre	155,000	20,000		40,000						10,000	225,000	Cost Variation
AMP - Nene Centre										10,000	10,000	Cost Variation
AMP - Rushden Centre		10,000	35,000				30,000				75,000	Cost Variation
AMP - East Northamptonshire House	125,000	45,000	10,000	10,000	10,000	30,000	10,000			10,000	250,000	Cost Variation
AMP - Industrial Units	25,000		30,000	30,000	300,000						385,000	Cost Variation
AMP - Polebrooke Cemeteries			10,000			10,000			10,000		30,000	Cost Variation
AMP - Splash	528,000		24,000		10,000		40,000	40,000		20,000	662,000	Cost Variation
AMP - Splash	(197,000)										(197,000)	Cost Variation
Performance Management Solution	30,000										30,000	New Scheme
Service Transformation Programme							(60,000)				(60,000)	Removed
Replacement Hardware (PC'S, Monitors & Laptops)	(120,000)	120,000				(90,000)	90,000				-	Cost Variation
Security Systems/GovConnect	10,000										10,000	Cost Variation
Licences	(25,000)	(1,000)	(36,000)	27,000	2,000	17,000	22,000	(13,000)	(13,000)	(13,000)	(33,000)	Cost Variation
Replacement Servers	10,000	10,000	10,000	10,000	(35,000)			(15,000)	55,000	(15,000)	30,000	Cost Variation
Replacement Sun Server			(30,000)					(30,000)	(30,000)		(90,000)	Cost Variation
Upgrade for Uninterrupted Power Supply to server room (UPS for L12)				(35,000)							(35,000)	Cost Variation
Storage/Replacement SAN									(45,000)		(45,000)	Removed
CRM Upgrades	(70,000)	70,000									-	Cost Variation
Telephone System	15,000							10,000			25,000	Cost Variation
Council Chamber Project Screen			(20,000)				(25,000)				(45,000)	Cost Variation
ESRI - GIS Upgrade	(70,000)		60,000								(10,000)	Cost Variation
Oracle Licensing & Physical servers	40,000	10,000			40,000				40,000		130,000	New Scheme
Oracle Development	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000		90,000	New Scheme
Server 2003 replacement	10,000										10,000	New Scheme
Revised Development Pool @ 26 Feb 2014	1,068,000	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,162,600	
	(475,893)	114,000	19,000	(53,000)	(19,600)	(88,000)	400	(58,000)	(13,000)	(48,000)	(622,093)	
Revised Total Dev Pool & Approved	1,705,539	1,340,000	1,177,000	1,405,000	1,097,000	752,600	1,012,000	807,000	872,000	632,000	10,800,139	
Removed	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(10,000)	(70,000)	(10,000)	(55,000)	(10,000)	(205,000)	Key
Cost Variation	(555,893)	104,000	19,000	(53,000)	(59,600)	(88,000)	60,400	(58,000)	(8,000)	(38,000)	(677,093)	High Risk (Urgent , Business Critical)
New Scheme	90,000	20,000	10,000	10,000	50,000	10,000	10,000	10,000	50,000	-	260,000	Medium Risk
Total	(475,893)	114,000	19,000	(53,000)	(19,600)	(88,000)	400	(58,000)	(13,000)	(48,000)	(622,093)	Future Requirement, Service Enhancement, Invest to save

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Project Information

Project Name:

This form shows total scheme lifecycle costs, however, this capital expenditure request is seeking approval for 2014/15 expenditure only

Head of Service: CapEx Reference number: (to be completed by Finance)

Contact/Officer leading project: Statutory required Project: (Please select from drop down menu)

Estimated project cost (£): Invest to Save Project: (Please select from drop down menu)

Project Preferred Start Date: Project Preferred End Date:

Submitted by: Date submitted:

Brief project description:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

In order to provide more resilience for the Council's data, and provide the ability to backup ENC data at BCW and vice versa, ICT are installing a common backup solution across both sites, making the storage, backup and restoration of data from either location possible. This is the first stage towards a full offsite Business Continuity\Disaster Recovery solution. Having the same solution at both Councils will also enable efficiencies within the ICT Service, from adopting common procedures and policies. £40K allocated in 2020/21 relates to possible future enhancements of the service.

Although the new solution was purchased in 2013/14, some additional storage is required on the data store prior to the installation of the new system, to ensure all backups can be kept on the same data store and off-site at Wellingborough.

Business Continuity Risk: (Please select from drop down menu)

Project Objective/Outcome:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

To provide a more functional backup system, with the ability to backup and restore data quickly between sites. Once in place, consideration will be made as regards how a complete BC\DR solution can be put in place in the future.

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Financial Information

	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2017/18	2018/19	2019/20	2020/21	Total:
Capital Expenditure (£) :	£25,000									£40,000	£65,000

Project Funding (£):

Grant:											£0
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S106:											£0
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Revenue Contribution:											£0
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Reserves:	£25,000									£40,000	£65,000
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Borrowing:											£0
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Assets:

Asset Type:

IT - Hardware/Software

(Please select from drop down menu)

Life of Asset (Years):

3

	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	Total:
Loss of interest (Reserves):	£250	£250	£250	£250	£250	£250	£250	£250	£250	£650	£2,900

Interest Charge (Borrowing):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
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Minimum Reserve Provision (MRP):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
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Total Impact on Revenue:	£250	£250	£250	£250	£250	£250	£250	£250	£250	£650	£2,900
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On-going Revenue costs:											£0
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On-going Revenue (savings):											£0
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Description of Revenue costs/(savings):

Revenue Cost Centre:

	/				/	
	/				/	
	/				/	

Total cost to Authority: £67,900

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Sunk costs:

Please identify any costs already incurred to progress the proposed scheme to this stage.

Description	Cost centre	Internally funded	Externally funded	2011/12 £	2012/13 £	2013/14 £	Total: £
							£0
							£0
							£0
							£0
							£0
Total:		£0	£0	£0	£0	£0	£0

Efficiencies & Savings - Non-cashable

Please identify any efficiencies and savings associated with the scheme that are non-cashable along with an estimate of timeframes you expect them to be realised.

Non-cashable efficiencies and savings are attributable to the scheme but which cannot be cashed.

Please indicate if the efficiencies and savings are one-off and the year in which you expect them to be realised.

Description	On-off saving	2013/14 £	2014/15 £	2015/16 £	2016/17 £	2017/18 £	Future Years	Total: £
								£0
								£0
								£0
								£0
								£0
		£0	£0	£0	£0	£0	£0	£0

Net Present Value (NPV):

Compares the present value of money today to the present value of money in future, taking inflation and returns into account.

£60,185

Payback:

The period of time required for the return on investment to "repay" the sum of the original investment.

0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Corporate Information

Corporate Priorities:
Please select from the drop down menus the Council's Corporate Priority perspectives that the project will meet:

Improvement for the Community

Council Services which provide good value for money

Effective Processes

High quality service delivery
Effective Management

How we learn and grow

Risk Assessment:
Please select scores from the drop down menus.

To assist you with your scoring, please refer to Impact Descriptors and Likelihood Descriptors within the Guidance Notes.

Please identify any project risks:

	Description:	Impact Score	Likelihood Score	Risk Exposure
1	High current workload within ICT means lack of resources available	3	2	6
2	Shared implementation may cause delays	2	2	4
3				0
4				0
5				0
6				0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Options appraised:

Please provide details of any options already appraised giving reasons why they are not the preferred option.

The new backup solution purchased is reliant on storing data on the central data store. The only option available is for us to increase the storage to keep the backups here.

Challenges:

Please provide details of any challenges to implementation and benefits realisations that you feel are likely

Assumptions:

Please provide details of any assumption that have been made but not covered in the application so far.

Organisational Capacity/Capability to deliver:

Please provide brief details of what has been done to ensure that the Council has the capacity/capability to carry out the project.

It is envisaged installation and configuration would be carried out by existing trusted third party. Once installed, the ongoing usage would be managed from within the Tech Team, as is done now.

Sign off:

You will need to ensure that the project is signed off at each approval stage:

Sign-off:	Name:	Signature:	Date:
Head of Service:	Phil Grimley		17/04/2014
Chief Finance Officer:	Glenn Hammons		
Finance Sub-Committee:			
Policy & Resource Committee:			

Entered on to Capital Programme:

Date:	
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EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Project Information

Project Name:

Licenses

This form shows total scheme lifecycle costs, however, this capital expenditure request is seeking approval for 2014/15 expenditure only

Head of Service:

Phil Grimley

CapEx Reference number: (to be completed by Finance)

Contact/Officer leading project:

Ian Peters

Statutory required Project: (Please select from drop down menu)

Estimated project cost (£):

£1,215,620

Invest to Save Project: (Please select from drop down menu)

Project Preferred Start Date:

01 June 2014

Project Preferred End Date:

Submitted by:

Ian Peters

Date submitted:

Brief project description:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

Each year approximately £85K of ICT's license and maintenance cost is capitalised against a license capital budget, under IFRS regulations. In addition to this, new license requirements are funded from this budget. Because of the advancements in Microsoft products, we are now in a position where some of the core products we are currently using are coming out of support during 14/15. This means we will need to purchase additional licenses to cover our desktop and server estate during this period. ENC were last in a Microsoft Enterprise Agreement between 2008 and 2011. This covered the licensing of all Microsoft products. Because of the upcoming requirements for new licenses, ICT would propose ENC enter into another Enterprise Agreement with Microsoft for the foreseeable future (please see spending profile for exact details). It is forecast this will save the Council money in the long term as it will cover the usage of new Microsoft products as they become available, as opposed having to purchase new licenses each time you wish to upgrade.

Business Continuity Risk:

 (Please select from drop down menu)

Project Objective/Outcome:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

To ensure continued license compliance and provide the ability to upgrade to new products as required to deliver the Council's business objectives.

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Financial Information

	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2017/18	2018/19	2019/20	2020/21	Total:
Capital Expenditure (£) :		£100,000	£119,000	£119,000	£137,000	£137,000	£137,000	£137,000	£137,000	£137,000	£1,160,000

Project Funding (£):

Grant:											£0
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S106:											£0
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Revenue Contribution:											£0
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Reserves:		£100,000	£119,000	£119,000	£137,000	£137,000	£137,000	£137,000	£137,000	£137,000	£1,160,000
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Borrowing:											£0
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Assets:

Asset Type:

IT - Licences/Software

(Please select from drop down menu)

Life of Asset (Years):

1

	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	Total:
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Loss of interest (Reserves):	£0	£1,000	£2,190	£3,380	£4,750	£6,120	£7,490	£8,860	£10,230	£11,600	£55,620
-------------------------------------	----	--------	--------	--------	--------	--------	--------	--------	---------	---------	----------------

Interest Charge (Borrowing):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
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Minimum Reserve Provision (MRP):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
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Total Impact on Revenue:	£0	£1,000	£2,190	£3,380	£4,750	£6,120	£7,490	£8,860	£10,230	£11,600	£55,620
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On-going Revenue costs:											£0
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On-going Revenue (savings):			(£8,000)	(£8,000)	(£10,000)	(£10,000)	(£10,000)	(£10,000)	(£10,000)	(£10,000)	(£76,000)
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Description of Revenue costs/(savings):

Replacement of VMware and Becrypt Disk Encryption with Microsoft technologies

Revenue Cost Centre:

	/				/	
	/				/	
	/				/	

Please provide Cost Centre details

Total cost to Authority: £1,215,620

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Sunk costs:

Please identify any costs already incurred to progress the proposed scheme to this stage.

Description	Cost centre	Internally funded	Externally funded	2011/12 £	2012/13 £	2013/14 £	Total: £
							£0
							£0
							£0
							£0
							£0
Total:		£0	£0	£0	£0	£0	£0

Efficiencies & Savings - Non-cashable

Please identify any efficiencies and savings associated with the scheme that are non-cashable along with an estimate of timeframes you expect them to be realised.

Non-cashable efficiencies and savings are attributable to the scheme but which cannot be cashed.

Please indicate if the efficiencies and savings are one-off and the year in which you expect them to be realised.

Description	On-off saving	2013/14 £	2014/15 £	2015/16 £	2016/17 £	2017/18 £	Future Years	Total: £
								£0
								£0
								£0
								£0
								£0
		£0	£0	£0	£0	£0	£0	£0

Net Present Value (NPV):

Compares the present value of money today to the present value of money in future, taking inflation and returns into account.

£1,003,704
Payback:

The period of time required for the return on investment to "repay" the sum of the original investment.

0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Corporate Information

Corporate Priorities:

Please select from the drop down menus the Council's Corporate Priority perspectives that the project will meet:

Improvement for the Community

Council Services which provide good value for money
Sustainable

Effective Processes

High quality service delivery
Effective Management

How we learn and grow

Risk Assessment:

Please select scores from the drop down menus.

To assist you with your scoring, please refer to Impact Descriptors and Likelihood Descriptors within the Guidance Notes.

Please identify any project risks:

	Description:	Impact Score	Likelihood Score	Risk Exposure
1				0
2				0
3				0
4				0
5				0
6				0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Options appraised:

Please provide details of any options already appraised giving reasons why they are not the preferred option.

Microsoft technologies are a key part of the ICT systems at ENC. We are reliant on many Microsoft products. As new projects require new technologies and old systems go out of support, we have no option but to purchase new licenses.

Challenges:

Please provide details of any challenges to implementation and benefits realisations that you feel are likely

Assumptions:

Please provide details of any assumption that have been made but not covered in the application so far.

Organisational Capacity/Capability to deliver:

Please provide brief details of what has been done to ensure that the Council has the capacity/capability to carry out the project.

Upgrading Microsoft platforms and the installation of new Microsoft systems is undertaken by internal ICT staff. Many ICT are Microsoft trained to enable them to fulfill this role.

Sign off:

You will need to ensure that the project is signed off at each approval stage:

Sign-off:	Name:	Signature:	Date:
Head of Service:	Phil Grimley		17/04/2014
Chief Finance Officer:	Glenn Hammons		
Finance Sub-Committee:			
Policy & Resource Committee:			

Entered on to Capital Programme:

Date:

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Project Information

Project Name:	Stanwick Lakes		
Head of Service:	Lisa Hyde	CapEx Reference number:	
Contact/Officer leading project:	Lisa Hyde	Statutory required Project:	Yes
Estimated project cost (£):	£377,830	Invest to Save Project:	No
Project Preferred Start Date:	01 April 2014	Project Preferred End Date:	31 March 2015
Submitted by:	Lisa Hyde	Date submitted:	15 April 2014

Brief project description:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

Stanwick Lakes is now a well known and well used public attraction. It is a district wide facility which supports the Councils objectives.

The land at Stanwick Lakes was leased to Rockingham Forest Trust (RFT) on a 125 year lease from 2002. The Council has a contractual obligation to maintain the structures existing on the land at Stanwick Lakes.

In line with the Council's responsibility for making appropriate provision for the maintenance and development of assets and fulfilling its responsibilities within the lease, the Stanwick Lakes Management Board have developed and presented the long term sustainable capital finance plan. It is important to note that RFT have decided that, despite the Council's lease responsibilities, they are able to make some contribution from their revenue stream towards the cost of maintenance and repairs to the site and also intend to seek external funding contributions towards the cost of the work

It is proposed that this expenditure be funded from NHB Stream 3 reserve.

Business Continuity Risk:

High

 (Please select from drop down menu)

Project Objective/Outcome:

(NB: to start a new line within the box please press the Alt and enter buttons on your keyboard)

To repair and maintain the bridges at Stanwick Lakes to meet the contractual obligation ENC has and to minimise the health and safety risks being faced.

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Financial Information

	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2017/18	2018/19	2019/20	2020/21	Total:
Capital Expenditure (£) :		£73,000	£71,000	£69,000	£75,000	£65,000					£353,000
Project Funding (£):											
Grant:											£0
S106:											£0
Revenue Contribution:											£0
Reserves:		£73,000	£71,000	£69,000	£75,000	£65,000					£353,000
Borrowing:											£0
Assets:											
Asset Type:	Land										
	(Please select from drop down menu)										
					Life of Asset (Years):						
					40						
	2013/14	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	Total:
Loss of interest (Reserves):	£0	£730	£1,440	£2,130	£2,880	£3,530	£3,530	£3,530	£3,530	£3,530	£24,830
Interest Charge (Borrowing):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Minimum Reserve Provision (MRP):	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0	£0
Total Impact on Revenue:	£0	£730	£1,440	£2,130	£2,880	£3,530	£3,530	£3,530	£3,530	£3,530	£24,830
On-going Revenue costs:											£0
On-going Revenue (savings):											£0
Description of Revenue costs/(savings):											
Revenue Cost Centre:		/				/				/	
		/				/				/	
		/				/				/	
											Total cost to Authority: £377,830

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Sunk costs:

Please identify any costs already incurred to progress the proposed scheme to this stage.

Description	Cost centre	Internally funded	Externally funded	2011/12 £	2012/13 £	2013/14 £	Total: £
							£0
							£0
							£0
							£0
							£0
Total:		£0	£0	£0	£0	£0	£0

Efficiencies & Savings - Non-cashable

Please identify any efficiencies and savings associated with the scheme that are non-cashable along with an estimate of timeframes you expect them to be realised.

Non-cashable efficiencies and savings are attributable to the scheme but which cannot be cashed.

Please indicate if the efficiencies and savings are one-off and the year in which you expect them to be realised.

Description	On-off saving	2013/14 £	2014/15 £	2015/16 £	2016/17 £	2017/18 £	Future Years	Total: £
								£0
								£0
								£0
								£0
								£0
		£0	£0	£0	£0	£0	£0	£0

Net Present Value (NPV):

Compares the present value of money today to the present value of money in future, taking inflation and returns into account.

£326,852

Payback:

The period of time required for the return on investment to "repay" the sum of the original investment.

0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Corporate Information

Corporate Priorities:
Please select from the drop down menus the Council's Corporate Priority perspectives that the project will meet:

Improvement for the Community

Council Services which provide good value for money
Healthy

Effective Processes

Effective Partnership Working
Effective Management
Strong Community leadership

How we learn and grow

Risk Assessment:
Please select scores from the drop down menus.

To assist you with your scoring, please refer to Impact Descriptors and Likelihood Descriptors within the Guidance Notes.

Please identify any project risks:

Description:	Impact Score	Likelihood Score	Risk Exposure
1 ENC does not meet its contractual liability	4	2	8
2 The bridges at Stanwick Lakes become a health and safety issue	4	2	8
3			0
4			0
5			0
6			0

EAST NORTHAMPTONSHIRE COUNCIL CAPITAL EXPENDITURE REQUEST FORM

Options appraised:

Please provide details of any options already appraised giving reasons why they are not the preferred option.

RFT looked into a number of options and alternative ways to fund the repairs to existing structures to maintain Stanwick Lakes as a self financing facility as much as possible.
 External Funding is being actively looked into with the support of ENC External Funding Officer.
 Other procurement routes are being looked into to ensure costs are minimised.

Challenges:

Please provide details of any challenges to implementation and benefits realisations that you feel are likely

Assumptions:

Please provide details of any assumption that have been made but not covered in the application so far.

Organisational Capacity/Capability to deliver:

Please provide brief details of what has been done to ensure that the Council has the capacity/capability to carry out the project.

RFT are responsibility for the delivery of the project

Sign off:

You will need to ensure that the project is signed off at each approval stage:

Sign-off:	Name:	Signature:	Date:
Head of Service:	Lisa Hyde		17/04/2014
Chief Finance Officer:	Glenn Hammons		
Finance Sub-Committee:			
Policy & Resource Committee:			

Entered on to Capital Programme:

Date: